



DBE Corporate & Departmental Risks *(Planning & Transportation Committee)*

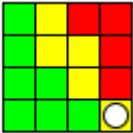
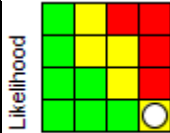

APPENDIX 2

Report Author: Richard Steele

Generated on: 17 January 2018

Risk no, Title, Creation date, Owner	Risk Description (Cause, Event, Impact)		Current Risk Rating & Score	Risk Update and date of update		Target Risk Rating & Score	Target Date	Current Risk score change indicator
CR20 Road Safety	Cause: Limited space on the City's medieval road network to cope with the increased use of the highway by vehicles and pedestrians / cyclists within the City of London. Interventions & legal processes take time to deliver Event: The number of casualties occurring in the City rises instead of reducing. Effect: The City's reputation and credibility is adversely impacted with businesses and/or the public considering that the Corporation is not taking sufficient action to protect vulnerable road users; adverse coverage on national and local media	Likelihood  Impact	12	The risk is unchanged.	Likelihood  Impact	6	31-Oct-2018	↔
				Consultation on the Bank Junction experimental scheme has closed and a report which will summarise the findings is being prepared for the March 2018 Planning & Transportation Committee meeting.				
				The Road Danger Reduction & Active Travel Strategy has been drafted. But due to reductions in TfL LIP (Local Implementation Plan) allocation, the funding of the programme is under review.				
				The Be Brake Ready campaign was launched in late November.				
				The Active City Network event will be a "Have Your Say" on the future of the Square Mile was held at the Museum of London on November 22nd.				
				Work continues on the City Mark Safer Freight Scheme and appointments have been made at the remaining live construction sites for this January and February.				
23-Oct-2015 Carolyn Dwyer				11 Jan 2018				No change

Action no, Title,	Description	Latest Note	Managed By	Latest Note Date	Due Date
CR20b Permanent Bank Junction redesign	Permanent Bank Junction redesign	Consultation closed on the experiment and a report which will summarise the findings is being prepared for the March 2018 Planning & Transportation Committee meeting. A further monitoring update report is also planned for Spring 2018.	Steve Presland	09-Jan-2018	22-Nov-2018
CR20f Development of the Road Danger Reduction & Active Travel Strategy	In accordance with the agreed workplan the Road Danger Reduction & Active Travel Strategy is being prepared. Indicative milestones (1) draft to Planning & Transportation Committee in early 2018; (2) Public Consultation in Q2 of 2018; & (3) revised strategy to be presented to Planning & Transportation committee with recommendation for adoption Summer 2018.	The Road Danger Reduction & Active Travel Strategy has been drafted. But due to reductions in TfL LIP (Local Implementation Plan) allocation, the funding of the programme is under review. The current expectation is that the strategy will be submitted to Streets & Walkways Sub-Committee in February 2018 and then to Planning & Transportation Committee.	Steve Presland	11-Jan-2018	31-Jul-2018
CR20g Pilot Behaviour Change Campaign	Behaviour Change Campaign to address 'inattention'. The process will be (1) use focus groups to identify options; (2) conduct attitudinal survey of road users; (3) prepare campaign delivery plan; (4) deliver campaign; (5) evaluate and report to Q4 2018/19.	The Be Brake Ready campaign was launched in late November with some press coverage. This campaign will run until the end of March and target all road users, including cyclists.	Steve Presland	09-Jan-2018	31-Mar-2018
CR20i Active City Network	Working with the City's 'Active City Network' involving some 100+ City businesses we will deliver two major events a year, provide monthly road shows at businesses and provide employers, residents and visitors with a platform for two way communications regarding strategies to deliver road safety improvements. Progress will be reported to Members in March 2018.	The Active City Network event will be a "Have Your Say" on the future of the Square Mile was held at the Museum of London on November 22nd. Attendance and feedback were excellent. The quarterly newsletter was sent to over 2000 contacts across the City in December 2017. A recruitment drive to engage with new businesses is taking place in Spring 2018.	Steve Presland	11-Jan-2018	31-Mar-2018
CR20j Safer Goods Vehicles	By 31st March 2018 all active construction sites in the City of London will have been visited to audit their level of compliance with the national CLOCS (Construction Logistics and Community Safety) scheme. The objective is to provide appropriate support to enable 75% of sites to be CLOCS compliant by summer 2018 including safer vehicles and trained drivers/ banksmen.	Appointments have been made at the remaining live construction sites for this January and February.	Steve Presland	09-Jan-2018	31-Aug-2018

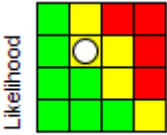
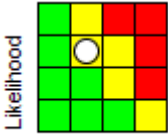

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DBE-02 Service/Pipe Subways 02-Dec-2015 Giles Radford	Cause: Provide safe access and egress for utilities and maintenance functions, whilst having operatives entering the confined space to undertake checks. Event: A lack of Oxygen, poisonous gases, fumes and vapour, liquids and solids that suddenly fill spaces, Fire and explosions, hot conditions, Entrapment and falling debris. Impact: Fatality / Major Injury / Illnesses	 Likelihood Impact	8	Heat detection cable (detecting fire and smouldering) is now almost complete. Fire suppression systems are under review but the cost remains an issue. There is now a restricted access on QVS for the immediate future. 17 Jan 2018	 Likelihood Impact	8		 No change

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DBE-02a Business As Usual Mitigations	<p>Confined space working is avoided when possible.</p> <p>All PPE and other equipment required for a SSOW shall be suitable and sufficient for the tasks identified. The following PPE and equipment shall be provided, as stated in the approved code of practice</p> <p>All openings are controlled through a central booking system. A subway must not be entered if permission to do so has been refused.</p> <p>No booking will be granted to parties who are not on the database. If the contractor is not on the database they must seek approval from CoL regarding their works. Once confirmed, the contractors will be added to the system before agreeing access.</p> <p>All works and operatives entering the pipe subway must comply with the code of practice for access and safe working in local authority subways.</p>	<p>All business as usual mitigations have been reviewed, they are very much current and continue to work effectively.</p>	Giles Radford	17-Jan-2018	31-Dec-2018

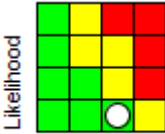
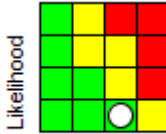

	<p>Regular inspections of the structure, covers, condition and asbestos surveys are undertaken.</p> <p>The Permit to enter form must be completed and contractors checked to ensure they have suitable and sufficient equipment to enter a confined space.</p> <p>No smoking is allowed at any time.</p>				
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DBE-DS-01a Business as usual mitigating controls	(1) Continue to provide excellent services [evidenced by customer survey]; (2) Maintain client links with key stakeholders; (3) Continue to explore new income opportunities; (4) Continue to undertake cross-boundary working.	Continuing to market the service to existing and new clients. Maintaining high quality service, monitor KPIs and benchmark against other local authority building control departments.	Gordon Roy	11-Jan-2018	31-Dec-2018
DBE-DS-01b Building Control business model review	Consider Options for Change	Consultants report has been recieved and is to be presented to Chief Officer on 23rd January 2018. The due date has been adjusted accordingly.	Gordon Roy	11-Jan-2018	31-Jan-2018

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DBE-PL-02 Not being alive to the needs/requirements of the world business centre and the political environment 23-Mar-2015 Annie Hampson	Cause: Staff are badly briefed in relation to the planning development needs of the City as a world business centre Event: Perception that we are not responsive to the planning development needs of the City as a world business centre Impact: The City's reputation suffers and we fail to deliver buildings that meet the needs of the City as a world business centre	 Impact	6	Whilst the underlying risk is unchanged, there continues to be uncertainty regarding the wider economic situation and in particular Brexit. 20 Dec 2017	 Impact	6		 No change

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DBE-PL-02a Business as usual mitigating controls	(1) Continue to work closely with other parts of the department; the City Property Advisory Team; other City of London Departments; & the Greater London Authority. (2) Attendance at MIPIM.	The controls, which have been implemented, have been reviewed and continue to be appropriate and effective.	Annie Hampson	17-Jan-2018	31-Dec-2018

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DBE-TP-03 Major Projects and key programmes not delivered as TfL funding not received 27-Mar-2015 Steve Presland	Cause: City of London fail to bid at the appropriate time or City of London lose credibility with TfL or Reduced funding from TfL Event: TfL funding for Local Investment Plan ceased or significantly reduced Impact: Unable to deliver highway investment & improvement programmes	 Likelihood	4 Impact	Risk Unchanged. The 2018/19 programmes was approved by Planning & Transportation Committee in Oct 17 and was submitted to TfL by their deadline of 22 Oct 17. We awaiting sign-off by TfL. 01 Nov 2017	 Likelihood	4 Impact	30-Apr-2018	 No change

Action no, Title,	Description	Latest Note	Managed By	Latest Note Date	Due Date
DBE-TP-03a TfL bid process	Meet TfL bid timetable	The 2018/19 programmes was approved by Planning & Transportation Committee in Oct 17 and was submitted to TfL by their deadline of 22 Oct 17. We awaiting sign-off by TfL. The due date for this action has been updated for next year.	Steve Presland	01-Nov-2017	31-Aug-2018
DBE-TP-03b TfL meetings	Conduct quarterly meetings with TfL-	The August 2017 meeting was held as planned. The next formal review is March 2018. The due date for this action has been updated accordingly.	Steve Presland	14-Sep-2017	31-Mar-2018